

03500.017825.



IFW

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Examiner: James D. Stein
TATSUYA IWASAKI)
Application No.: 10/533,564) Group Art Unit: 2874
371(c) Date: May 3, 2005)
For: PROCESS OF INFORMATION)
TRANSMISSION IN OPTICAL)
CIRCUIT DEVICE AND OPTICAL)
CIRCUIT DEVICE THEREFOR : June 7, 2006

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents below and listed on the enclosed Form PTO-1449.

- (1) U.S. Publication No. 2005-0129348 A1
- (2) U.S. Patent No. 6,278,231
- (3) U.S. Patent No. 6,838,297
- (4) U.S. Patent No. 5,357,122
- (5) U.S. Patent No. 5,191,219
- (6) WO 2004/093354 A1

- (7) Hideo Itoh, et al., "Multidimensional Optical Interconnections Using Beam-Scanning Laser Diodes", Electronics and Communications in Japan, Part II: Electronics, Scripta Technica, New York, U.S., Vol. 75, No. 11, (11/01/1992), pp. 32-41, XP000381545, ISSN: 8756-663X.

The Examiner's attention is also directed to the following co-pending Application which has been assigned in common with the present application:

| <u>Application No.</u> | <u>Filing Date</u> | <u>Group Art Unit</u> | <u>Inventor</u> |
|------------------------|--------------------|-----------------------|-----------------|
| 10/530,188 | April 4, 2005 | 2883 | Tatsuya Iwasaki |

It is noted that the foregoing application has not been published under 35 U.S.C. § 122. However, the application is currently available in the Patent Office's Image File Wrapper. Therefore, in accordance with Official Gazette Notice 1287 O.G. 163 (Oct. 19, 2004), a copy of the foregoing U.S. Application is not being provided herewith. In addition, document (6) is believed to be an international application corresponding to U.S. Application No. 10/530,188.

Inasmuch as the subject application has not yet received a first Office Action on the merits, it is believed that this Information Disclosure Statement is timely. See 37 C.F.R. § 1.97(b)(3). Accordingly, the Examiner is urged to study this information in its entirety and to form an independent determination of the materiality of the information to the claimed invention. Additionally, the Examiner is requested to indicate that this information has been considered by initialing the appropriate portion of Form PTO-1449.

Applicant's undersigned attorney may be reached in our Costa Mesa, California office by telephone at (714) 540-8700. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



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10/533,564

APPLICANT

TATSUYA IWASAKI

FILING DATE

371(c) Date: May 3, 2005GROUP
2874

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|---------------------|-------------------|------------------------|------------|------------|----------------------------|
| | 2005/0129348 | 06/16/2005 | Iwasaki | 385 | 14 | |
| | 6,278,231 | 08/21/2001 | Iwasaki, et al. | 313 | 310 | |
| | 6,838,297 | 01/04/2005 | Iwasaki, et al. | 438 | 20 | |
| | 5,357,122 | 10/18/1994 | Okubora, et al. | 257 | 84 | |
| | 5,191,219 | 03/02/1993 | Linke | 250 | 551 | |
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FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES/NO/ OR ABSTRACT |
|--|--------------------|-------------------|---------------|-------|----------|---------------------------------|
| | 2004/093354 | 10/28/2004 | PCT/WO | | | |
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OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

Hideo Itoh, et al., "Multidimensional Optical Interconnections Using Beam-Scanning Laser Diodes", Electronics and Communications in Japan, Part II: Electronics, Scripta Technica, New York, U.S., Vol. 75, No. 11, (11/01/1992), pp. 32-41, XP000381545, ISSN: 8756-663X.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

Form #62